

Section 1



COPY ORIGINAL PAPERS FILED

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/020,426	12/07/2001	Castagnozzi	applied_114

CONFIRMATION NO. 9539

 29397  
 LAW OFFICE OF GERALD MALISZEWSKI  
 11440 WEST BERNARDO COURT  
 SUITE 157  
 SAN DIEGO, CA 92127

## FORMALITIES LETTER



\*OC000000007305608\*

Date Mailed: 01/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

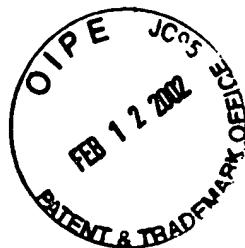
PART 2 - COPY TO BE RETURNED WITH RESPONSE



## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

Attorney Docket No.		applied 114	
Client Matter Number			
First Inventor or Application Identifier:		Castagnozzi et al.	
Title:		SYSTEM AND METHOD FOR NON-CASUAL CHANNEL EQUALIZATION	
Express Mail Label No.:			
<b>Application Elements</b> (See MPEP chapter 600 concerning utility patent application contents)		<b>ADDRESS TO:</b> Assistant Commissioner For Patents BOX PATENT APPLICATION Washington, D.C. 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, & duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status 3. <input type="checkbox"/> Specification [Total Pages <u>  </u> ] (preferred arrangement set forth below) <ul style="list-style-type: none"> <li>• Descriptive title of the Invention</li> <li>• Cross References to Related Applications</li> <li>• Statement Regarding Fed sponsored R&amp;D</li> <li>• Background of the Invention</li> <li>• Brief Summary of the Invention</li> <li>• Brief Description of the Drawings (if filed)</li> <li>• Detailed Description</li> <li>• Claim(s)</li> <li>• Abstract of the Disclosure</li> </ul> 4. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets <u>  </u> ] 5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>  4  </u> ] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix) 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. <input type="checkbox"/> Specification Sequence Listing on:             <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul> <b>ACCOMPANYING APPLICATION PARTS</b> 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power Of Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS/PTO 1449) <input type="checkbox"/> Copies of IDS Citations 13. <input checked="" type="checkbox"/> Preliminary Amendment (25 pgs.) 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Express Mail Certification 17. <input type="checkbox"/> Request And Certification under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 18. <input checked="" type="checkbox"/> OTHER: Check # <u>  99  </u> (\$170)	
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-In-Part of prior application no.: <u>  </u> / <u>  </u> Prior application information: Examiner: <u>  </u> Group/Art Unit: <u>  </u>			
<b>18. CORRESPONDENCE ADDRESS</b>			
<input type="checkbox"/> Customer Number (29397) Or Bar Code Label OR <input type="checkbox"/> Correspondence Address Below		 <b>29397</b> PATENT & TRADEMARK OFFICE	
NAME		ATTN: Gerald Maliszewski	
ADDRESS		LAW OFFICE OF GERALD MALISZEWSKI	
Telephone: 858-451-9950		Fax No.: 858-451-9869	
Name (print/type)		Registration No.:	
Gerald Maliszewski		(Attorney/Agent) 38,054	
Signature		Date	
		12/17/01	

**Law Office of Gerald Maliszewski**

11440 West Bernardo Court, Suite 157

San Diego, CA 92127-1642

gerry@IPATENTlit.net

O] 858-451-9950

F] 858-451-9869

**FEE TRANSMITTAL**

Attorney Docket No.	applied 114
First Named Inventor:	Costagnozzi et al.
Application Number	10/020462
Filing Date:	12/7/01
Examiner Name:	
Group/Art Unit:	

<b>TOTAL AMOUNT OF PAYMENT:</b>	<b>\$ 170.00</b>
<b>METHOD OF PAYMENT (check One)</b>	1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and/or credit any over payment to:  Deposit Account No.: 502033 Deposit Account Name: Law Office of Gerald Maliszewski  <input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17  2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other

**2. UTILITY Basic Filing Fee & Claims**

(1) For	(2) No. filed	(3) No. extra	(4) Large Entity	(5) Small Entity	(6) Calculations
Basic Filing Fee	0	0	\$ 740.00	\$370.00	\$ 000.00
Total Claims	0 - 20 =	0	0x \$ 18.00	X \$ 9.00	\$ .00
Independent Claims	3 - 3 =	0	0x \$ 84.00	X \$ 42.00	\$ 00
Multiple Dependent Claim(s) (if applicable)			\$ 280.00	\$140.00	\$ 000.00
Total of above Calculations =					\$ 000.00

Basic Filing Fee	Large Entity	Small Entity	Total
Design filing fee	\$ 330.00	\$ 166.00	\$ 000.00
Reissue filing fee	\$ 740.00	\$ 370.00	\$ 0.00
Provisional filing fee	\$ 160.00	\$ 80.00	\$ 0.00
Total of above Calculations =			\$

**3. ADDITIONAL FEES**

Fee Description	Large Entity	Small Entity	Other
late oath 1.16(e)	\$130.00	\$	\$130
assignment	\$ 40.00	\$	\$ 40
	\$	\$	\$
	\$	\$	\$
TOTAL:			\$170

Name (print/type)	Gerald Maliszewski	Registration No.: (Attorney/Agent)	38,054
Signature		Date	12/17/01